



D-30 PROCESS:

Battle Force Configuration Management and Certification

NAVSEA 53



Agenda

➔ Background

- What Went Wrong
- Today's Operational Problems
- Definition

□ D-30 Process

- Process
 - Purpose & Scope
 - Overview
 - Certification Objectives
- Software CM Requirements

□ Summary



Background

What Went Wrong?

NAVY Focused on Getting Capability Fielded Quickly

- Schedule Driven - Ignored Engineering “Red Flags”
- Budget Marks and Program Instability

PMs Delivered Systems Not Fully Ready

- Shortcuts in Development and Systems Engineering
- Insufficient Testing Ashore
- Inadequate Training and Logistics
- PM’s not Required to Ensure Interoperability (Stovepipes)

INTEROPERABILITY AND PERFORMANCE FAILURES



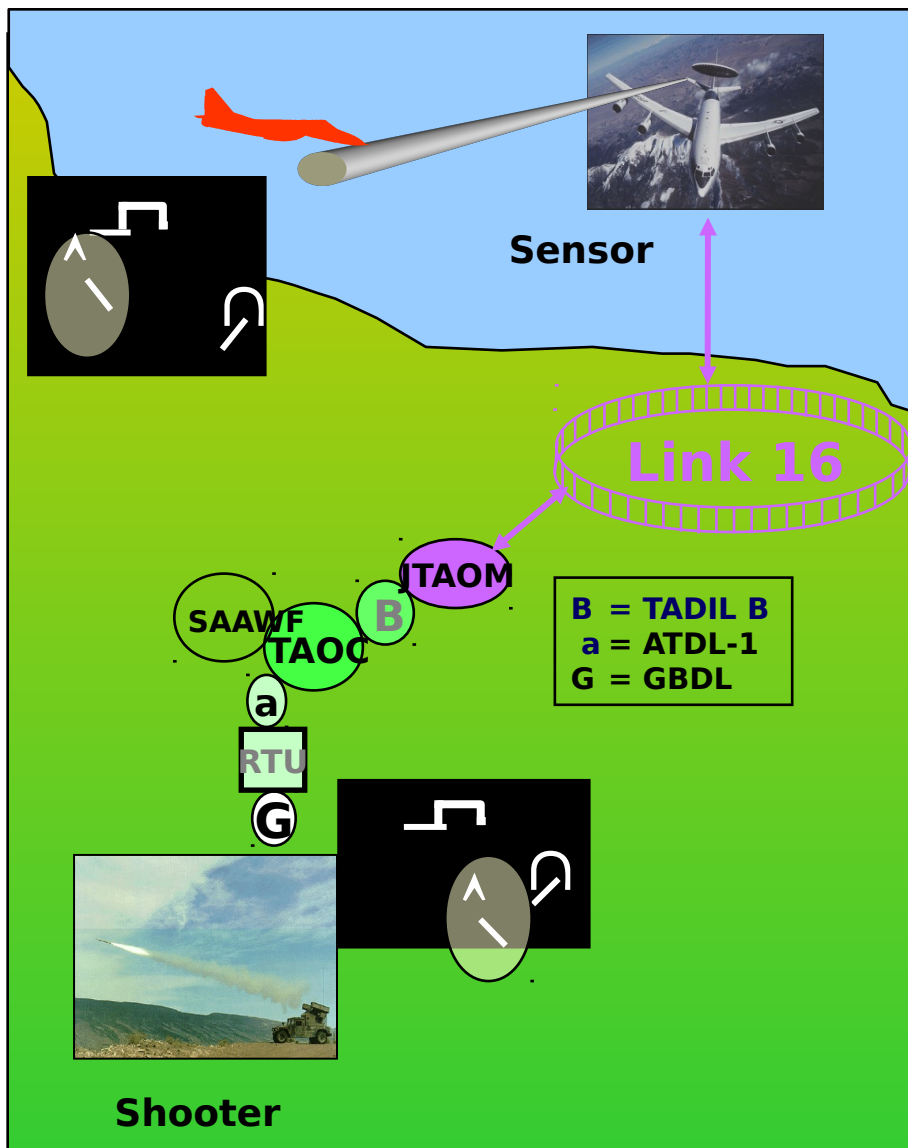
Background

Interoperability - Defined

Ability of systems, units, or forces to provide services to and accept services from other systems, units, or forces and to use the services so exchanged to enable them to operate effectively together and achieve the assigned missions. (JCS Pub 1)

Background

Today's Operational Problems



- Erratic tracking
- Dual/multiple track designations
- Misidentification/Track ID conflicts
- IFF/SIF conflicts
- Reporting responsibility (R2) conflicts
- Frequent track number changes and swaps
- Reliance on voice deconfliction
- Operator overload



D-30 Process:

Purpose and Scope

(Ref: CLF/CPF Inst 4720.3A)

Purpose:

Increase readiness of deploying battle groups through a disciplined process that includes configuration management, integrated testing and certification.

Encompasses:

Command, Control, Communication, Computers, Intelligence, Surveillance and Reconnaissance

THE CINC'S PROCESS!



D-30 Process: NAVSEA Certification Objectives

- No system or program (interoperable type, as applicable) will be employed on a ship without having been tested in DEP.
- No delivery or testing of a program will be allowed during COMPTUEX/JTFEX.
- No program will be deployed with a BG that is not in the BG Caps & Lims (if applicable to Caps & Lims).

ONLY CINCs HAVE AUTHORITY TO GRANT WAIVERS

D-30 Process: Overview



**Battle Group
Centric**



Increased Readiness of Deploying Battle Groups Through Disciplined Process



D-30 Process Overview: Initial Baseline Review (IBR) (Ref: CLF/CPF Inst 4720.3A)

□ Purpose

Formally review the **Proposed Baseline Configuration** and establish the **Initial**

Baseline Configuration for a given Battle Force.

➔ Process

IBR reviews the **Proposed Baseline Configuration** at D-28. As a result of the review, NAVSEA 53H establishes the **Initial Baseline Configuration**.

The **Initial Baseline Configuration** is transmitted through a message to FLTCINC, information to CNO, theater commanders, TYCOMS, and NFCs which lists all installations that will be accomplished prior to

□ Membership

- | | | |
|-------------|-----------------|-------------------------|
| - FLTCINC | - NAVAIR 4.0 | - PEOs |
| - NAVSEA 53 | - MARCORPSYSCOM | - SPMs |
| - SPAWAR 05 | - SYSCOMs | - SUPPORT (AS REQUIRED) |



D-30 Process Overview:

D-25 Pre-Deployment Planning Conf

(Ref: CLF/CPF Inst 4720.3A)

□ Purpose

Present the **Initial Deployment Baseline Configuration (DRAFT)** for a given Battle

Force

□ Process

D-25 Pre-Deployment Planning Conference presents the **Initial Deployment Baseline Configuration (DRAFT)** to TYCOMs/COMMARFOR, respective NFC, and respective COMPHIBGRU, and BG Commander with FLTCINC participation. As a result of the review, FLTCINC forwards the Fleet supported **Deployment Baseline Configuration Issues** to the SYSCOMs, PEOs, TYCOMs and OPNAV for action.

□ Membership

- | | | |
|----------------|------------------|-------------------------|
| - FLTCINC | - Numbered Fleet | - PEOs |
| - NAVSEA 53 | - NAVAIR 4.0 | - SPMs |
| - SPAWAR 05 | - MARCORPSYSCOM | - SUPPORT (AS REQUIRED) |
| - BG Commander | - SYSCOMs | |



D-30 Process Overview: Baseline Review Board (BRB) (Ref: CLF/CPF Inst 4720.3A)

□ Purpose

Formally review and decide the Final Baseline for a given Battle Force.

□ Process

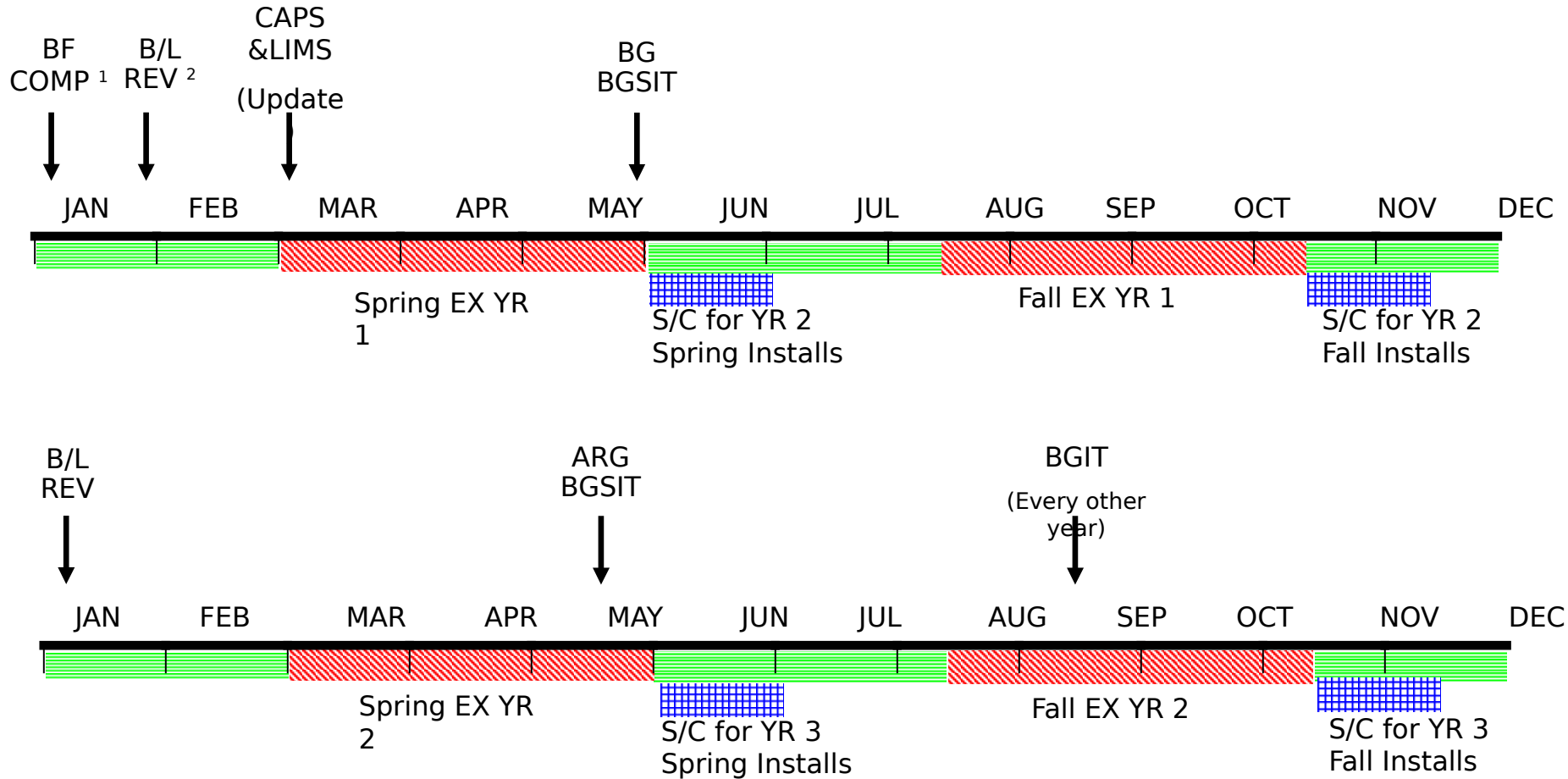
The configuration baseline of a given Battle Force evolves from **Proposed** to **Initial** to **Deployment** per D-30 Process.

BRB establishes the **Final Baseline** at D-24. Subsequent changes are controlled by the **BF CCB**.

□ Membership

- | | | |
|----------------------------|-----------------|-------------------------|
| • FLTCINC (Chair) | • NAVAIR 4.0 | • MARCORPSYSCOM |
| • NAVSEA 53 (Deputy Chair) | • 2nd/3rd FLEET | • TYCOMs |
| • SPAWAR 05 | • OPNAV N6/N8 | • SUPPORT (AS REQUIRED) |

FDNF Baseline Process



¹ FLTCINC promulgate FDNF composition change msg as soon as identified in order to bring new platform(s) into B/L configuration control. Assumption: no changes UNODIR.

² The Baseline Review will review the proposed baseline and installs for the current and following CYs.

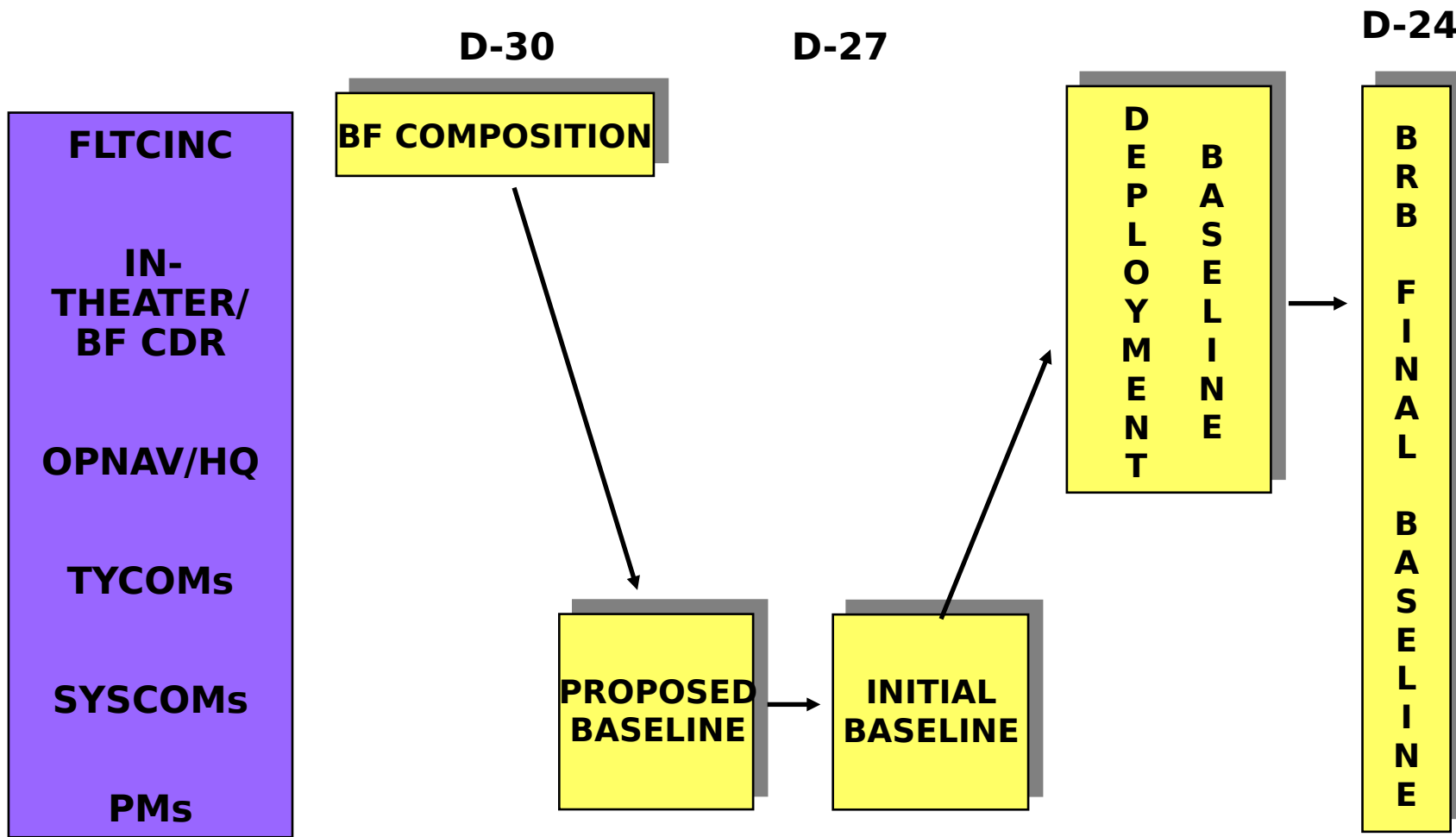
³ Ref: CLF/CPF Instruction 4720.3A; CPF Msg 251912Z MAY00.



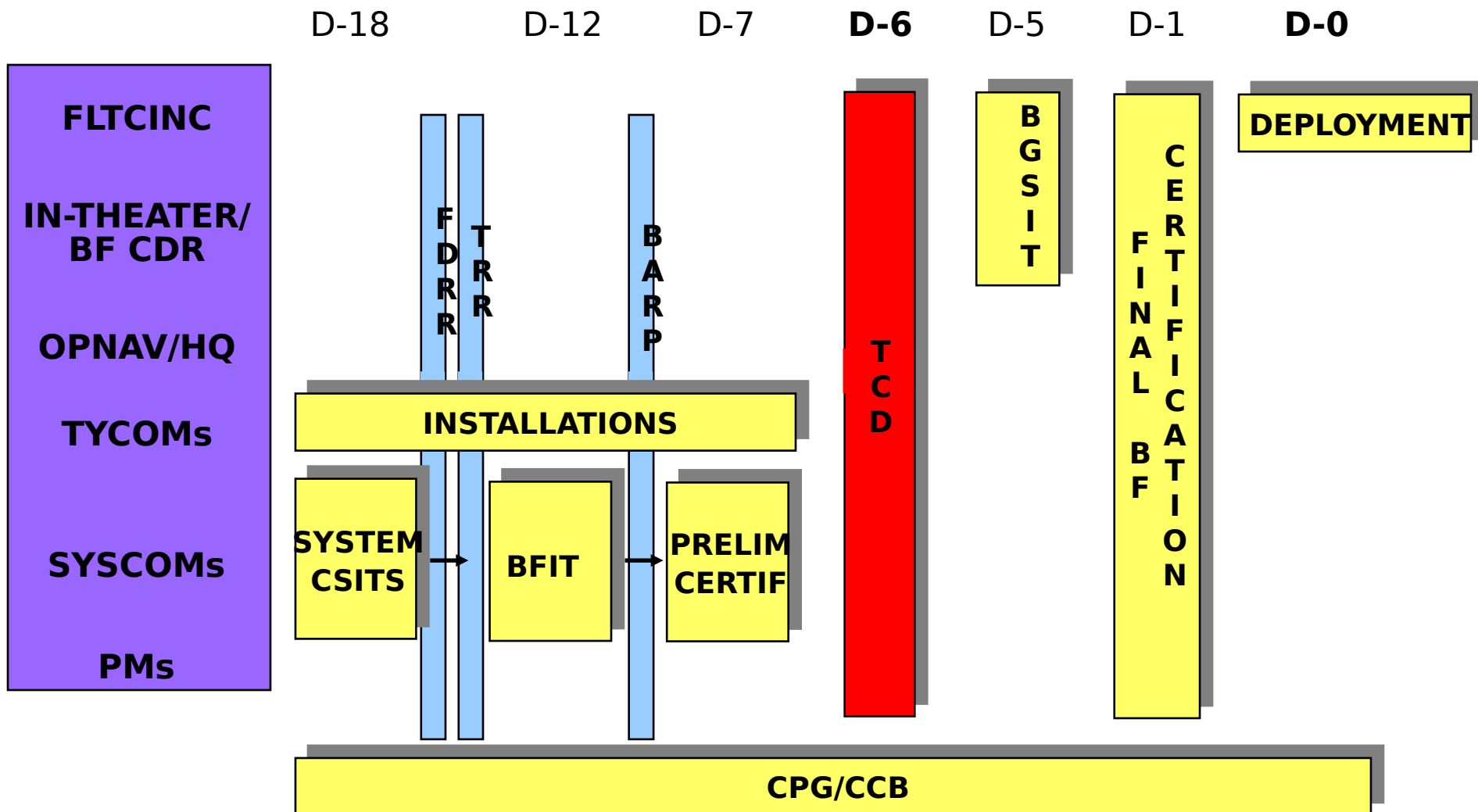
The D-X Process

- **Patterned after D-30 for deploying Battle Groups (BG), taking FDNF unique requirements into consideration**
- **CPF/CLF Instruction 4720.3A “Management of Afloat Combat Systems and C4I Installations and Improvements”**
- **CPF Msg 251912Z MAY00 adapts D-30 Process to FDNF**
 - Key D-30 elements are pertinent and vital to FDNF readiness
 - Two year cycle: planning and execution years
 - Cornerstone in the annual Baseline Review (BR) in JAN (BGSIT, BFIT)

D-30 People: Process Overview



D-30 People: Process Overview *(Cont'd)*





D-30 Value-Added Summary

- **Joint SYSCOM baseline**

- Eliminate SYSCOM Walls: C4ISR/Combat System

- **BF Certification Process**

- System → Platform → Battle Force ... Theater
- Battle Force Ashore (Pre-BGSIT)
- Independent Certification

- **Disciplined Configuration Control**

- Formal Baseline Review (BRB)
- Formal Change Control (CCB)

- **Interoperability Assessment**

- Functional End-to-End Review
 - Early Problem ID
- Caps/Lims
- EMI/BGSIT Mapping/Resolution



D-30 Value-Added Summary

(Cont'd)

Bottom Line:

- A coordinated process for mapping new systems/functionality to each Battle Force
- A coherent certification process
- A necessity for a Network Centric Navy



Proposed BG Deployment Schedule

Month / Year = D-min

Rev: 1 JUN 01

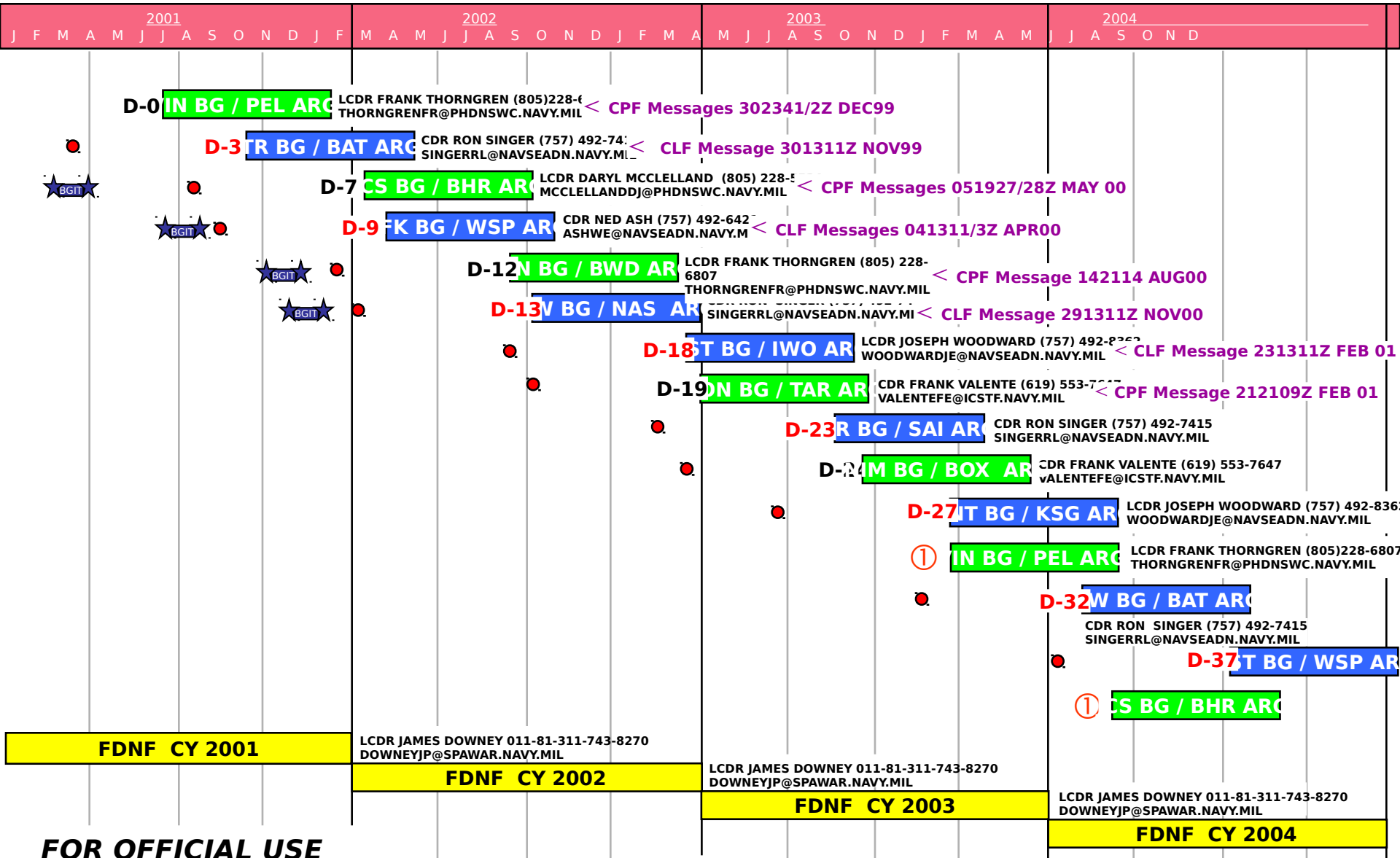
CLF

CPF

FDNF

Stars denote BGIT Dates

Red Dot denotes established TCD Date



FOR OFFICIAL USE
ONLY

< POST BRB BGs UNDER CONFIGURATION CONTROL - eCCB REQUIR

① NOTIONAL: BG COMP NOT



D-30 Process Software CM Requirements

- Provide existing software baseline data
 - Reference point for IBR data calls
 - Reference for determining and assessing possible interoperability issues
 - Reference for C&L assessments at deployment
- Source of audit lists for software validations
 - System, version, media, location, parent equipment
- Means of determining baseline status
 - Completion of planned software installations



Summary

- SEA 53 is responsible for defining BG Baselines and assessing interoperability issues
- Accurate and timely software configuration data is essential to this process
- Hardware configuration data is maintained in a central data repository; however, there is no corresponding software configuration data repository
- Maintaining software CM data in CDMD-OA would facilitate the SEA 53 assessment process